



DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM POLICY STATEMENT

Roaring Fork Transportation Authority (RFTA) has established a Disadvantaged Business Enterprise (DBE) Program in accordance with the regulations of the U.S. Department of Transportation (DOT) 49 CFR Part 26. RFTA has received federal financial assistance from the DOT and as a condition of receiving this assistance, RFTA has signed an assurance that it will comply with 49 CFR Part 26.

It is the policy and commitment of RFTA that disadvantaged businesses, as defined in 49 CFR Part 26, shall have a level playing field to participate in the performance of contracts financed, in whole or in part, with federal funds. It is also the policy of RFTA to:

- ◆ Ensure non-discrimination in the award and administration of DOT assisted contracts;
- ◆ Create a level playing field on which DBE firms can compete fairly for DOT-assisted contracts;
- ◆ Ensure that the DBE Program is narrowly tailored in accordance with applicable law;
- ◆ Ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBE firms;
- ◆ Assist in the removal of barriers to the participation of DBE firms in DOT-assisted contracts;
- ◆ Assist in the development of firms to enhance the ability to compete successfully in the marketplace outside of the DBE Program;
- ◆ Monitor and enforce contractor compliance in meeting established goal objectives and program requirements; and
- ◆ Ensure RFTA contractors and subcontractors take all necessary and reasonable steps to comply with these policy objectives.

Through such efforts, RFTA will ensure DOT-assisted contracting and procurement related processes promote equity in access, consideration and opportunity for DBEs and other small business in response to requirements set forth under 49 CFR Part 26; "Participation of Disadvantaged Business Enterprises in U.S. Department of Transportation Programs," effective March 4, 1999, and subsequently issued DOT Directives and Final Rules.

As evidence of RFTA's commitment to pursue these policy objectives, Dan Blankenship, Chief Executive Officer (CEO) has designated Ms. Nicole Schoon, Executive Assistant to the CEO and Regulatory Compliance Officer, as the DBE Liaison Officer (DBELO). In this capacity Ms. Schoon is responsible for implementing all aspects of the DBE program. The DBELO has direct access to the CEO for DBE-related matters. Implementation of the DBE program is accorded the same priority as compliance with all other legal obligations incurred by RFTA in its financial assistance agreements with the DOT.

RFTA has disseminated this policy statement to all departments of our organization. Additionally, RFTA will post this policy on the RFTA website www.rfta.com, for DBE and non-DBE businesses that are interested in performing work on RFTA projects. RFTA requires all employees and agents to adhere to the provisions of 49 CFR Part 26.



Dan Blankenship, Chief Executive Officer (CEO)

9/11/18

Date